

RE-ADVERTISEMENT

REQUEST FOR PROPOSAL (RFP)

FOR SERVICES

Project Title:	Consulting services: Program Assistant, National Coordinator, and Team Leader for the FSM Green Climate Fund Readiness Project 3
Nature of the services	Consulting services
Location:	Federated States of Micronesia
Date of issue:	6/02/2023
Closing Date:	27/02/2023
SPC Reference:	RFP22-4727

Contents

PART 1: INTRODUCTION	3
1.1 ABOUT THE PACIFIC COMMUNITY (SPC)	3
1.2 SPC'S PROCUREMENT ACTIVITIES	3
1.3 SPC'S REQUEST FOR PROPOSAL (RFP) PROCESS	3
PART 2: INSTRUCTIONS TO BIDDERS	4
2.1 BACKGROUND	4
2.2 SUBMISSION INSTRUCTIONS	4
2.3 CLARIFICATIONS	5
2.4 EVALUATION	5
2.5 CONTRACT AWARD	5
2.6 KEY DATES	5
2.7 LEGAL AND COMPLIANCE	6
2.8 COMPLAINTS PROCESS	7
PART 3: TERMS OF REFERENCE	8
PART 4: PROPOSAL EVALUATION MATRIX	15
4.1 EVALUATION CRITERIA & SCORE WEIGHT	15
4.2 FINANCIAL EVALUATION	16
PART 5: PROPOSAL SUBMISSION FORMS	17
ANNEX 1: BIDDER'S LETTER OF APPLICATION	17
ANNEX 2: CONFLICT OF INTEREST DECLARATION	18
ANNEX 3: INFORMATION ABOUT THE BIDDER AND DUE DILIGENCE	20
ANNEX 4: TECHNICAL PROPOSAL SUBMISSION FORM	23
ANNEX 5: FINANCIAL PROPOSAL SUBMISSION FORM	25

Part 1: INTRODUCTION

1.1 About the Pacific Community (SPC)

The Pacific Community (SPC) is the principal scientific and technical organisation of the Pacific region, established by treaty in 1947 with the signing of the Agreement Establishing the South Pacific Commission (the Canberra Agreement).

SPC has our headquarters in Noumea, New Caledonia and has regional offices in Fiji, the Federated States of Micronesia and Vanuatu, as well as an office in France. SPC works across the Pacific and has staff in nearly all of our Pacific Island Country and Territory members.

SPC works for the well-being of Pacific people through the effective and innovative application of science and knowledge and is guided by a deep understanding of Pacific Island contexts and cultures. Our unique organisation covers more than 20 sectors and is renowned for knowledge and innovation in such areas as fisheries science, public health surveillance, geoscience and conservation of plant genetic resources for food security.

For more information about SPC and the work that we do, please visit our website: <https://www.spc.int/>.

1.2 SPC's procurement activities

SPC's procurement activities are guided by the principles of high ethical standards, value for money, open competition and social and environmental responsibility and are carried out under our Procurement Policy.

SPC's *Procurement Policy* provides the framework for ensuring that SPC obtains the best value for its purchases, in terms of both cost and quality; demonstrates financial probity and accountability to its members and development partners; manages and prevents the potential for conflicts of interest; reduces its environmental impact and manages any other risks.

At SPC, all procurement follows the same main steps: planning; statement of needs; requisition; solicitation; evaluation; award; receipt; and payment. Different procedures apply depending on the value of the goods, services and works to be procured.

For further information or enquiries about SPC's procurement activities, please visit the procurement pages on our website: <https://www.spc.int/procurement> or email: procurement@spc.int.

1.3 SPC's Request for Proposal (RFP) Process

At SPC, procurement valued at more than EUR 45,000 must be advertised through a Request for Proposal (RFP) with any bids received evaluated by SPC's Procurement Committee to determine the offer that provides the best value for money.

This RFP sets out SPC's requirements and it asks you, as a bidder, to respond in writing in a prescribed format with pricing and other required information. The RFP contains detailed instructions and templates to enable you to submit a compliant bid. It sets out the overall timetable; it confirms the evaluation criteria that SPC will use to evaluate proposals; it explains the administrative arrangements for the receipt of the bids; and it sets out how bidders can request further information.

Your participation confirms your acceptance of SPC's conditions of participation in the RFP process.

Part 2: INSTRUCTIONS TO BIDDERS

2.1 Background

SPC invites you to submit a bid to deliver the services as specified in [Part 3](#).

SPC has advertised this RFP on its website and may send it directly to potential vendors. The same specifications, submission and other solicitation requirements will be provided to all vendors.

SPC has compiled these instructions to guide prospective bidders and to ensure that all bidders are given equal and fair consideration.

Please read the instructions carefully before submitting your bid. For your bid to be considered, you must provide all the prescribed information by the closing date and in the format specified.

2.2 Submission instructions

Your submission must be clear, concise and complete and should only include information that is necessary to respond effectively to this RFP. Please note that you may be marked down or excluded from the procurement exercise if your submission contains any ambiguities or lacks clarity.

Your proposal must include the following documents (annexes of [Part 5](#) of the RFP):

- a) Curriculum Vitae
- b) Bidder letter of application (**Annex 1**)
- c) Conflict of Interest Declaration (**Annex 2**);
- d) Information about the bidder and Due diligence (**Annex 3**);
- e) Technical proposal submission form (**Annex 4**): For each lot for which the contractor is bidding, a technical note of maximum 3 pages detailing:
 - i. How the experience, skills and qualifications of the contractor correspond to the requirements of the assignment.
 - ii. The organization and methodology implemented to meet the objectives defined in the scope of work and to deliver the expected deliverables.
- f) Financial proposal submission form (**Annex 5**).

Your proposal must be submitted in **two separate emails**.

You must submit your **Technical proposal** (Annexes 1 to 4 and all their supporting documents) in English as an attachment to one email. No financial information may appear in the technical proposal.

You must submit your **Financial proposal** (Annex 5) in a separate email. All prices in the proposal must be presented in USD. Your Financial proposal is to be password protected. SPC will request the password in the event that it is required.

Both emails are to be sent to procurement@spc.int with the subject line of your email as: **Submission RFP22-4727**.

Your proposal must be received no later than **27/02/2023** by **4PM (NEW CALEDONIA TIME)**. Only one bid per bidder is permitted.

SPC will send a formal acknowledgement to each proposal received before the deadline.

SPC reserves the right to exclude from consideration any proposal not received by the deadline, with incomplete information or in incorrect form.

2.3 Clarifications

You may submit questions or seek clarifications on any issue relating to this RFP. The questions are to be submitted in writing to procurement@spc.int with the subject line: **Clarification RFP22-4727**. The deadline for submission of clarifications is **20/02/2023 by 4PM (NEW CALEDONIA TIME)**.

Details will be kept of any communications between SPC and bidders. This assists SPC to ensure transparency of the procurement process. While SPC prefers written communication in the RFP process, at any point where there is phone call or other conversation, SPC will keep a record or a file note of the exchange with prospective bidders.

2.4 Evaluation

Validity

Each proposal will be assessed for compliance with the submission requirements by the Bids Opening Committee. At this stage, basic due diligence will also be undertaken.

To assist in the examination, evaluation and comparison of proposals, SPC may ask the bidder for clarification of its proposal or additional information. The request for clarification will be in writing.

Technical

All valid proposals will be assessed against the technical evaluation criteria set out in Part 4. The criteria are provided with weighted scores according to the relative importance of each. SPC will not change the evaluation criteria set out in the RFP at any stage of the procurement process. Any changes in the evaluation criteria will result in the RFP process being re-issued.

Bidders are expected to familiarise themselves with local conditions and take these into account in preparing their proposal. Where minimum qualifications are set as specific evaluation criteria (which may include educational qualification, professional accreditation or certification, licensing, experience and expertise), proposals submitted must necessarily meet these criteria.

Financial

Any bids that pass the minimum technical evaluation requirements will pass onto financial evaluation.

During the financial evaluation, if there is a discrepancy between the unit price and the total price, the lower price shall prevail. If there is a discrepancy between words and figures the amount in words will prevail.

The total cost of the proposal must be submitted inclusive of taxes in accordance with the applicable legislation, and is not subject to revision.

2.5 Contract award

SPC may award the contract once the Procurement Committee has determined that a bidder has met the prescribed requirements and the bidder's proposal has been determined to be the most responsive to the RFP documents, provide the best value for money and best serve the interests of SPC.

SPC's [General Terms and Conditions of Contract](#) will apply to any contracts awarded under this RFP, unless otherwise agreed. Any requested changes to the General Terms and Conditions of Contract must be foreshadowed in the submission.

The award of the contract will be made by contract signed and dated by both parties.

2.6 Key dates

Please see the proposed procurement timetable in the table below. This timetable is intended as a guide only and while SPC does not intend to depart from the timetable, it reserves the right to do so at any stage.

STAGE	DATE
RFP advertised	6/02/2023
Deadline for seeking clarification	20/02/2023
RFP Closing Date	27/02/2023

2.7 Legal and compliance

Child and vulnerable adult protection: SPC is committed to the well-being of children and vulnerable adults. All SPC contractors are required to commit to the principles of SPC's Child and Vulnerable Adult Protection Policy ([XI.G Manual of Staff Policies](#)). Breach of this requirement can result in SPC terminating any contract with a successful bidder. Any allegations of potential misconduct in relation to this RFP involving children or vulnerable adults should be sent to complaints@spc.int.

Confidentiality: Unless otherwise agreed by SPC in advance or where the contents of the RFP are already in the public domain when **shared** with the bidder, bidders shall at all times treat the contents of the RFP and any related documents as confidential. SPC will also treat the information it receives from the bidders as confidential.

Conflict of interest: Bidders must take all necessary measures to prevent any situation of conflict of interest. You must notify SPC in writing as soon as possible of any situation that could constitute a conflict of interest during the RFP process. If you have any familial connection with SPC staff, this must be declared, and approval will then be sought for you to engage in the RFP process. Breach of this requirement can result in the exclusion of the bidder from the RFP process or in SPC terminating any contract with a successful bidder.

Cost of preparation of proposals: Under no circumstances will SPC be liable for any proposal submission costs, expenditure, work or effort that you may incur in relation to your provision of a proposal (including if the procurement process is terminated or amended by SPC).

Currency, validity, duties, taxes: Unless specifically otherwise requested, all proposals should be in USD and must be net of any direct or indirect taxes and duties and shall remain valid for 120 days from the closing date. The successful bidder is bound by their proposal for a further 60 days following notification they are the preferred bidder so that the contract may be awarded. No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted at any time during this period.

Eligibility: Bidders are required to disclose to SPC whether they are subject to any sanction or temporary suspension imposed by any international organisation, or whether they are subject to bankruptcy proceedings. You may not be bankrupt or suspended, debarred, or otherwise identified as ineligible by any international organisation. Failure to disclose such information may result in debarment and termination of any contract issued to the bidder by SPC.

Fraud and corruption: SPC has zero tolerance for fraud and corruption. All contractors have an obligation to report potential fraud and corruption. Breach of this requirement can result in the exclusion of the bidder from the RFP process or in SPC terminating any contract with a successful bidder. Allegations of potential misconduct by an SPC staff member or contractor involving fraud or corruption can be sent to complaints@spc.int.

Good faith: The information in this RFP is provided by SPC in good faith. No representation, warranty, assurance or undertaking (express or implied) is or will be made, and no responsibility or liability will be accepted by SPC in relation to the adequacy, accuracy, completeness or reasonableness of this RFP or any information provided by SPC in relation to this RFP.

Modifications: Any clarifications, corrections or modifications will be published on the SPC website prior to deadline. In the event a bidder has submitted a bid before the clarification, correction or modification, the

bidder will be informed and may modify the bid. The modified bid will still need to be received before the deadline.

No offer of contract or invitation to contract: This RFP is not an offer to contract or an invitation by SPC to enter into a contract with you.

Privacy: The bidder is to comply with the requirements of applicable legislation and regulatory requirements in force for the use of personal data that is disclosed for the purposes of this RFP. SPC will handle any personal information it receives under the RFP in line with its [Privacy Policy](#), and the [Guidelines for handling personal information of bidders and grantees](#).

Right to amend, seek clarity, withdraw, not award: SPC reserves the right to: (1) amend, add to or withdraw all or any part of this RFP at any time, or to re-invite bids on the same or any alternative basis; (2) seek clarification or documents in respect of any bidder's submission; (3) choose not to award a contract as a result of this RFP; (4) make whatever changes it sees fit to the timetable, structure or content of the procurement process, depending on approvals processes or for any other reason. Please note that while SPC will not change the evaluation criteria set out in the RFP without the RFP process being re-issued, SPC does reserve the right at the time of award of contract to vary the quantity of services and goods specified in the RFP and to accept or reject any proposal at any time prior to award of the contract without incurring any liability to the affected bidder or any obligation to inform the affected bidder/s of the grounds for SPC's action.

Right to disqualify: SPC reserves the right to disqualify: (1) any bidder that does not submit a proposal in accordance with the instructions in this RFP; (2) any bidder that misrepresents information to SPC; (3) any bidder that directly or indirectly canvasses any SPC employee concerning the award of a contract.

Use of material: Bidders shall not use the contents of the RFP or any related material for any purpose other than for the purpose of considering submitting, or submitting, a bid to SPC.

Warranty, representation, assurance, undertaking: The bidder acknowledges and agrees that no person has any authority to give any warranty, representation, assurance or undertaking on behalf of SPC in connection with any contract which may (or may not) follow on from this RFP process.

2.8 Complaints process

Bidders that consider they were not treated fairly during any SPC procurement process may lodge a protest. The protest should be addressed to complaints@spc.int. The bidder must provide the following information: (1) full contact details; (2) details of the relevant procurement; (3) reasons for the protest, including how the alleged behaviour negatively impacted the bidder; (4) copies of any documents supporting grounds for protest; (5) the relief that is sought.

Part 3: Terms of Reference

Consulting services: Program Assistant, National Coordinator, and Team Leader for the FSM Green Climate Fund Readiness Project 3

The current situation of Federal States of Micronesia (FSM) to address climate related issues is limited by their capacity to identify, prioritise, design, implement and monitor climate change. Over the last five years, there have been promising leaps towards established readiness to access resources and implementation of projects that effectively address climate challenges at the ground level. However, there remain significant barriers to overcome before FSM is fully capacitated to directly access and deploy climate finance resources. Once these barriers are overcome, FSM will be able to directly programme concrete climate action and enable a paradigm shift to a more climate resilient future.

The Green Climate Fund (GCF) Readiness III project will address the limited capacity of FSM stakeholders to access climate finance by further strengthening the National Designated Authority (NDA) Office, Presidential Sustainable Development (SD) Council and GCF State focal points capacity. It will also improve Direct Access Entities (DAE) capacity to develop their pipeline of projects through better coordination and knowledge-sharing mechanisms. The third phase of readiness support builds on the significant gains made in the first and second phases, particularly in the establishment of the Council, M&E system, and the completion and update of the Country Programme (CP). Indeed, this Readiness programme will contribute to the increase in the number of quality project concept notes developed and submitted to the GCF, in alignment with key priorities identified in the CP.

This Readiness III project is to be implemented for 3 years.

The three main outcomes of the project are the following:

- FSM NDA and focal points and the networks and systems that enable them to fulfil their roles are operational and effective
- Accredited DAEs have the capacity to maintain GCF's accreditation standards, develop a pipeline of projects and effectively implement GCF-funded activities
- Increase in the number of quality project concept notes developed and submitted that target SIDS.

1. Purpose, objectives, and scope of services

The bidder is free to submit a bid on all or part of the lots presented below.

Purpose and objectives of the consultancies

The Consultants must complete the work defined in the scope of services for the whole duration of the project. These scopes of services are detailed thereafter for each position.

The table below presents the deliverables expected from each consultant.

Position	Inception report	Monthly report	12 months / Progress Report	Completion Report
Program Assistant		X		
Coordinator		X		X
Team Leader	X	X	X	X

The delivery schedule for each deliverable is given as an indication for each lot.

Scope of work of the consultants and expected key deliverables:

Lot 1 - Consulting service as a Program Assistant

The Program Assistant will be recruited for a period of up to three years to support in the implementation of this project & will retain this status during this period.

The consultant will work in accordance with the Readiness III approved by the GCF Secretariat. He/she will work under the authority of the Team Leader and support the whole Project team in implementation of the project.

He/she will be liaising with the SPC Micronesia Regional Office (MRO) office for all finance, procurement and other administrative issues to ensure that the project carries out the tasks in compliance with SPC guidelines and policies.

His key responsibilities are the following:

1. **Financial:** work with the SPC Finance Officer in devising cost forecasts based on project work plan, assist in financial reporting by ensuring acquittals are in order and complete.
2. **Administration:** assisting GCF team to obtain quotes, prepare payment requests, archive documents, correspondence with internal and external stakeholders and other duties as assigned by Team Leader
3. **Logistics:** arranging travel, meetings, workshops for the team including but not limited to distribution or allowances, booking accommodations, airfares and liaising with consultants and participants.

Expected outcomes:

Financial:

- Good understanding of costs involved for different types of project activities
- Accurate records of transactions related to the project
- Processes are in line with SPC financial policies and procedures.
- Effective use of funds for purposes in the program design and budget and per guidance provided by the Team Leader and SPC finance staff
- Links with vendors to obtain information and quotes for procurement purposes.
- Accurate & available monthly reports, jointly established with SPC finance staff, for the Team Leader Works.

Administration:

- Efficient and effective administrative support to the GCF team on administrative matters.
- Supervision and management of the administration of program files and relevant documents, including the filing of documents physically and electronically.
- Contacts management and details for stakeholders.
- Procurement processes compliant with SPC policies
- Assist Team Leader through the provision of secretarial services for sector and other meetings associated with the project.
- Attend meeting in regard to the finances and financial reporting for the project, as required.
- Receive calls and mails related to project

Logistics:

- Logistical arrangements are in line with SPC travel policy
- Booking of airfares, accommodations, venue and rentals (where needed) are done in a timely manner.

Lot 2 - Consulting Services as a National Coordinator

The Consultant will be recruited for a period of 3 years to support the Team Leader in the implementation of the Readiness III project.

He will work in accordance with the Readiness III project approved by the GCF Secretariat, which includes a logical framework, an implementation schedule and a budget.

The scope of work is as follows:

- a. Support the Team Leader with the day-to-day interactions and reporting on strategic areas for engagement with the Fund, partners and FSM stakeholders with regards to climate finance mobilisation.
- b. Coordinate and disseminate information among the stakeholders through convening workshops and other modalities on:
 1. the GCF accreditation process and requirements, including advice on:
 - i. improving policies and processes
 - ii. activity management
 - iii. development of project concepts aligned with national and state priorities in the CP
 - iv. reporting requirements
 2. facilitate and deliver the 'no objection' on proposals to be submitted to the Fund
- c. Assist and organise with meetings of the FSM Sustainable Development Council's to discuss GCF opportunities, accreditation procedures and requirements, and to create synergy with other relevant multilateral, bilateral, regional and global climate initiatives in FSM.
- d. Provide guidance and orientation to the Consultants for the implementation of the Country Program.

The consultant will ensure that all deliverables identified in the project document are duly submitted and in time.

The consultant will submit, with NDA approval, monthly reports to the delivery partner, including at a minimum the following:

1. Programming and monitoring of implementation, reporting on GCF preparation work with the NDA and the Council.
2. Documentation of the consultant recruitment process for the NDA office and the BEs.
3. Information on the strategic planning process for NDA approval, including documented consultation with relevant government agencies and other stakeholders.
4. Minutes of Council meetings on FCM issues.
5. Copies of content developed for outreach and communication materials, including stakeholder feedback, and records of information provided to stakeholders.
6. The timeline and implementation reports for outreach and stakeholder consultation in the broadest sense - at the state and national level, with a particular focus on gender balance and engagement of other social groups.
7. Documentation of networking and outreach to FSM entities and organizations that may be interested in accreditation, to submit expressions of interest in doing so.
8. Documentation of outreach to accredited entities at the regional and national level to assist FSM in developing any agreed upon project concept ideas.
9. Documentation associated with the "no objection" consultation on project concepts and proposals received by the NDA for approval. Work with other departments and development partners to ensure complementarity and harmonization of GCF related activities and opportunities.
10. Convene and report on stakeholder meetings prior to the end of the consultancy to inform the results of Phase III and the strategy beyond readiness support.
11. Preparation of grant progress reports to report to the Fund annually and in accordance with the Fund's reporting requirements.

The Consultant will also submit a **completion report** at the end of the project, as per GCF's reporting template, including reporting on logical framework, implementation timetable, budget and procurement report.

The consultant will need to consider the four levels of governance of FSM: national, state, municipal, and traditional, to assist the NDA in engaging stakeholders and development the tools for effective implementation of this project.

Lot 3 - Consulting Services as a Team Leader

The objective of this consultancy is to strengthen the capacity of the FSM NDA office (Office of the Secretary, Department of Finance and Administration), to access and manage climate finance and its harmonisation with national systems, as well as the overall communication and strengthening of the FSM's engagement with the GCF.

The purpose of this position will be to support and progress institutional strengthening within the GSM national and state governments to ensure the effective implementation of the updated GCF Country Programme.

The consultant Team Leader will be recruited over a period of three years to support the NDA and lead the implementation of the Readiness III project.

The consultant will work in accordance with the Readiness III project approved by the GCF Secretariat, which includes a logical framework, an implementation schedule and a budget.

The scope of work is as follows:

- a. Advise the Secretary of Finance & Administration (NDA) and staff through day-to-day interactions and reporting on strategic areas for engagement with the Fund and with other partners with regards to climate finance mobilization.
- b. Act as interface between the NDA, the Delivery Partner SPC and the GCF to facilitate communication
- c. Coordinate the implementation of the project, in particular:
 - i. Build the knowledge, systems, and coordination capacity of the NDA
 - ii. Solidify the coordination mechanisms and procedures for inter-ministerial coordination, intra-country coordination, and for prioritizing and decision making
 - iii. Provide strategic direction for the implementation of the updated Country Programme and keeping the country programme alive; and
 - iv. Identify and develop strategies for GCF to build on the ongoing work of other development partners in the country and deliver its support in a manner complementary to the efforts of other partners.
- d. Manage the team of consultants and support services for the implementation of this project

The consultant will ensure that all deliverables identified in the project document are duly submitted and in time.

Outputs

The first output of the consultant is the submission to the Delivery Partner for onward transmission to the Fund of an **inception Report** validated by the NDA, in an appropriate format and content acceptable to the Fund.

The Inception Report will include:

1. A detailed implementation Plan
2. A detailed Procurement Plan
3. A detailed budget
4. An approved (by NDA and Delivery Partner) reorganized logframe to follow the outcomes and sub-

outcomes listed in the readiness guidebook.

5. An approved reorganized budget to match the log frame and follow the outcomes and sub-outcomes listed in the readiness guidebook

The report will be submitted **within three (3) months of the recruitment of the consultant**.

Notwithstanding the above and the project document prevailing, the consultant will submit, approved by the NDA, **monthly reports to the Delivery Partner** together with outputs to include:

1. Documents of effective programming, implementation, monitoring and reporting of the project
2. Work plan and schedules prepared in consultation with NDA, Delivery Partners SPC and the Fund, revised as necessary.
3. Documented process of assistance for procuring goods and services (other consultants) under this project: terms of reference, review and screening, etc. PS. Procurement of goods and services will be carried out by SPC upon its procedures and agreed terms of reference by NDA.
4. Minutes of the national Sustainable Development Council meetings and reports of consultation workshops
5. Copies of content developed for awareness-raising and communication materials, including feedback from stakeholders and records of information provided to stakeholders
6. Documented record of networking, informing and consulting regional and national Direct Access Entities and entities for accreditation
7. Documented outreach to Accredited Entities nationally and regionally about assisting FSM to develop any agreed project concept ideas
8. Workshop reports
9. Documented procedures

The Consultant will submit, at least one month before each 12 month implementation period, a proposed **progress report**. All progress reports will adhere to GCF's reporting template following the outcomes/sub-outcomes specified in the readiness guidebook.

The Consultant will also submit a **completion report** at the end of the project, as per GCF's reporting template, including reporting on logical framework, implementation timetable, budget and procurement report.

The consultant will need to consider the four levels of governance of FSM: national, state, municipal, and traditional, to assist the NDA in engaging stakeholders and development the tools for effective implementation of this project.

2. Timelines

For each lot, according to the expected deliverables, the deadlines are as follows

Expected key deliverables	Indicative timeline
Monthly report	From 1 st of May 2023 to October 2025
Inception report: 3 months of the start of the project.	End of August 2023
Progress Report	Each 12 months implementation period
Completion reports as per GCF's template	End of November 2025

4. Reporting and contracting arrangements

For the purpose of administering these consultancies, the direct SPC contact person will be the Climate Finance Coordinator of the CFU, Mr Dirk Snyman.

Lot 1 - Program Assistant

The consultant will work under the direct supervision of the NDA Team Leader, in liaison with the SPC Micronesia Regional Office on one hand and the Climate Finance Unit (CFU) from the Climate Change and Environmental Sustainability Programme on the other hand.

Lot 2 & 3 - National Coordinator & Team Leader

The Consultants will work under the overall supervision of the FSM Secretary of Finance and Administration (NDA) in liaison with the SPC Micronesia Regional Office on one hand and the Climate Finance Unit (CFU) from the Climate Change and Environmental Sustainability Programme on the other hand.

Duration of the Work

It is anticipated that the consultants will work approximately 20 days per month.

Duty station and travel

The Consultant will be based at the Office of the FSM Secretary for Finance and Administration (NDA) in Palikir, Pohnpei.

SPC will oversee travel organisation including per diems if required for this consultancy. SPC will take in charge visa fees, but travel insurance will be in charge of the Contractor. All travel expenses shall be submitted to the validation of SPC based on the required acquittals

5. Skills and qualifications

The Consultants should have the following skills & qualifications:

Lot 1 - Program Assistant

- Diploma in accounting, finance, business administration or related field.
Preferable: Degree in finance, accounting or administration.
- Experience:
 - In project administration and system management.
 - In conference and workshop organisation, logistics and travel, including virtual events.
 - In managing procurement, purchase orders, invoicing and other finance processes
- Demonstrated:
 - Knowledge of accounting and procurement standards, practices and procedure.
 - Proactivity, ability to work independently and handle multiple tasks, organisational skills

Lot 2 - National Coordinator

- Bachelor's degree in social studies, development, public administration, management, finance studies with at least 2 years of work experience in an area relevant to national and international climate change policy and financing mechanism. Experience with the GCF is an asset.
- Demonstrated ability to coordinate and drive communication with the Fund and to manage operational activities.
- Knowledge of the relevant institutions and stakeholders in the climate change domain in FSM – including potential candidates for implementing entities/intermediaries and the private sector and multilateral finance.
- Demonstrated ability to work independently; to meet deadlines and to work in stressful situations.

- Skills at handling multiple tasks

Lot 3 - Team Leader

- Master's degree in social studies, business, international development, or a related field with at least 5 years' experience in the field;
- At least 5 years of relevant experience, including prior experience project management for international development projects and/or private sector diversity and inclusion initiatives;
- Proven experience and up to date knowledge of the mechanics of accreditation requirements, such as the Green Climate Fund and the Adaptation Fund;
- Demonstrated Interpersonal skills and ability to work in multicultural environment

6. Scope of Bid Price and Schedule of Payments

The contract will be calculated on the basis of 20 days / month for 29 months (duration of the contract).

It will be paid on a monthly basis, paid upon receipt:

- Of the monthly report.
- The monthly time sheet duly completed by the consultant.
- The invoice for the month concerned.

The terms of payment shall be in accordance with the provisions of Article 10 of the SPC General Conditions.

For Travel expenses (visa) reimbursement will be made after approval by SPC and upon receipt of an invoice.

Part 4: PROPOSAL EVALUATION MATRIX

4.1 Evaluation criteria & Score Weight

A two-stage procedure will be utilised to evaluate the proposals, with evaluation of the **Technical proposal** being completed prior to any **Financial proposal** being opened and compared.

The competencies which will be evaluated are detailed in [Part 3](#).

The evaluation matrix below also reflects the obtainable score specified for each evaluation criterion (technical requirement) which indicates the relative significance or weight of the items in the overall evaluation process.

The technical component, which has a total possible value of 700 points, will be evaluated using the following criteria.

Evaluation criteria	Score Weight (%)	Points obtainable
Mandatory requirements		
Letter of application, conflict of interest declaration, information about due diligence, technical proposal and financial proposal + CVs	Mandatory requirements. Bidders will be disqualified if any of the requirements are not met	
Lot 1 – Program Assistant		
Diploma in accounting, finance, business administration or related field. Preferable: Degree in Finance, accounting or administration	20%	140
Experience in project administration and system management	20%	140
Experience in conference and workshop organisation, logistics and travel, including virtual events	10%	70
Experience in managing procurement, purchase orders, invoicing and other finance processes	20%	140
Knowledge of accounting and procurement standards, practices and procedure	20%	140
Experience in FSM or Pacific Islands	5%	35
Quality of the bid: Editorial quality of the technical proposal	5%	35
Total Score	100%	700
Qualification score	70%	490

Lot 2 - National Coordinator		
A bachelor's degree in social studies, development, public administration, management, finance studies with at least 2 years of work experience in an area relevant to national and international climate change policy and financing mechanism.	20%	140
Experience with the GCF	10%	70
Experience in operational activities coordination and Management & driving communication with donors.	25%	175
Experience with relevant institutions and stakeholders in the climate change domain – including potential candidates for implementing entities / intermediaries and the private sector and multilateral finance.	20%	140
Experience in FSM or Pacific Islands	15%	105
Quality of the bid: Editorial quality of the technical proposal	10%	70
Total Score	100%	700
Qualification score	70%	490
Lot 3 - Team Leader		
A master's degree in social studies, business, international development, or a related field with at least 5 years' experience in the field.	30%	210
At least 5 years of experience in project management for international development projects and/or private sector diversity and inclusion initiatives.	30%	210
Proven experience and up to date knowledge of the mechanics of the Green Climate Fund and the Adaptation Fund.	20%	140
Experience in FSM or Pacific Islands	10%	70
Quality of the bid: Editorial quality of the technical proposal	10%	70
Total Score	100%	700
Qualification score	70%	490

4.2 Financial evaluation

The financial component of the proposal will be scored on the basis of overall costs for the delivery of the services and financial incentives and benefits provided to SPC. The lowest financial proposal will be awarded maximum 300 points and other financial offers and incentives will be awarded points as per the formula below:

$$\text{Financial Proposal score} = (\text{Lowest Price} / \text{Price under consideration}) \times 300$$

Part 5: PROPOSAL SUBMISSION FORMS

Annex 1: BIDDER'S LETTER OF APPLICATION

Dear Sir /Madam:

Having examined the Solicitation Documents, the receipt of which is hereby duly acknowledged, we the undersigned, offer to supply the required services for the sum as may be ascertained in accordance with the Financial Proposal attached herewith and made part of this proposal.

We acknowledge that:

- SPC may exercise any of its rights set out in the Request for Proposal documents, at any time;
- The statements, opinions, projections, forecasts or other information contained in the Request for Proposal documents may change;
- The Request for Proposal documents are a summary only of SPC's requirements and is not intended to be a comprehensive description of them;
- Neither the lodgement of the Request for Proposal documents nor the acceptance of any tender nor any agreement made subsequent to the Request for Proposal documents will imply any representation from or on behalf of SPC that there has been no material change since the date of the Request for Proposal documents, or since the date as at which any information contained in the Request for Proposal documents is stated to be applicable;
- Excepted as required by law and only to the extent so required, neither SPC, nor its respective officers, employees, advisers or agents will in any way be liable to any person or body for any loss, damage, cost or expense of any nature arising in any way out of or in connection with any representations, opinions, projections, forecasts or other statements, actual or implied, contained in or omitted from the Request for Proposal documents.

We undertake, if our proposal is accepted, to commence and complete delivery of all items in the contract within the time frame stipulated.

We understand that you are not bound to accept any proposal you may receive and that a binding contract would result only after final negotiations are concluded on the basis of the Technical and Financial Components proposed.

For the Bidder: *[insert name of the company]*

Signature:

Name of the Bidder's representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 2: CONFLICT OF INTEREST DECLARATION

INSTRUCTIONS TO BIDDERS

What is a conflict of interest?

A conflict of interest may arise from economic or commercial interests, political, trade union or national affinities, family, cultural or sentimental ties, or **any other type of relationship or common interest between the bidder and any person connected with the contracting authority** (SPC staff member, consultant or any other expert or collaborator mandated by SPC).

Always declare a conflict

The existence of a potential or apparent conflict of interest does not necessarily prevent the bidder concerned from taking part in a tender process. **However, the declaration of the existence of such a conflict by the persons concerned is essential and allows SPC to take appropriate measures to mitigate it and prevent the associated risks.**

Bidders are therefore invited to declare any situation, fact or link which, to their knowledge, could generate a real, potential or apparent conflict of interest.

Declaration at any time

Conflicts of interest may arise at any time during the procurement process or the implementation of a contract (e.g. new partner in the project) or as a result of a change in personal life (e.g. marriage, inheritance, financial transaction, creation of a company). If such a relationship is found and could be perceived by a reasonable person as likely to influence a decision, a declaration of the situation is necessary. In case of doubt, a conflict situation must be declared.

Declaration for any person involved

A declaration must be completed for each person involved in the tender (principal representative of the bidder, possible subcontractors, consultant, etc.)

Failure

Failing to declare a potential conflict of interest may result in the bidder being refused a contract or placed on SPC's list of non-responsible suppliers.

DECLARATION

I, the undersigned, *[name of the representative of the Bidder]*, acting in the name and on behalf of the company *[name of the company]*, declare that:

<input type="checkbox"/>	To my knowledge, I am not in a conflict-of-interest situation
<input type="checkbox"/>	There is a potential conflict of interest with regard to my <i>[Choose an item]</i> . relationship with <i>[name of the person concerned]</i> in his or her capacity as <i>position/role/personal or family link with the person concerned</i> , although, to the best of my knowledge, this person is not directly or indirectly involved in any stage of the procurement process
<input type="checkbox"/>	I may be in a conflict of interest with regard to my <i>[Choose an item]</i> relationship with <i>[name of the person concerned]</i> in his or her capacity as <i>position/role/personal or family link with the person concerned</i> , as this person is, to the best of my knowledge, directly or indirectly linked to the procurement process
<input type="checkbox"/>	To my knowledge, there is another situation that could potentially constitute a conflict of interest: <i>[Describe the situation that may constitute a conflict of interest]</i>

In addition, I undertake to:

- declare, without delay, to SPC any situation that constitutes a potential conflict of interest or is likely to lead to a conflict-of-interest;
- not to grant, seek, obtain or accept any advantage, whether financial or in kind, to or from any person where such advantage constitutes an unfair practice or an attempt at fraud or corruption, directly or indirectly, or constitutes a gratuity or reward related to the award of the contract;
- to provide accurate, truthful and complete information to SPC in connection with this procurement process.

I acknowledge that I and/or my company and/or my business partners who are jointly and severally bidding on the **RFP 22-4727** may be subject to sanctions such as being placed on SPC's list of non-responsible vendors, if it is established that false statements have been made or false information has been provided.

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 3: INFORMATION ABOUT THE BIDDER AND DUE DILIGENCE

Please complete the following questionnaire and provide supporting documents where applicable.

VENDOR INFORMATION					
Are you already registered as an SPC vendor?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
1. Please provide information related to your entity.					
Company name	<i>[Enter company name]</i>		Address	<i>[Enter address]</i>	
Director/CEO	<i>[Enter name of the executive person]</i>		Position	<i>[Enter position of the executive person]</i>	
Business Registration/License number	<i>[Enter company registration/license number (or tax number)]</i>				
Date of business registration	<i>[Enter date of business registration]</i>				
Country of business registration	<i>[Enter country of business registration]</i>				
Status of the entity:					
<input type="checkbox"/> For-profit entity (company), <input type="checkbox"/> NGO, <input type="checkbox"/> International organisation, <input type="checkbox"/> Government body, <input type="checkbox"/> University, <input type="checkbox"/> Association, <input type="checkbox"/> Research Institute, <input type="checkbox"/> Other: <i>[insert details]</i>					
2. Please provide relevant documentation to support and verify the legal existence of the entity, the authority of its officer and proof of its address, such as:					
<input type="checkbox"/> Delegation of authority or power of attorney document <input type="checkbox"/> Certificate of business registration/license <input type="checkbox"/> Memorandum, Articles or Statutes of Association <input type="checkbox"/> Telephone, water, or electricity bill in the name of the entity <input type="checkbox"/> Bank account details bearing the name of the entity					
3. How many employees does your company and its subsidiaries have?				<i>[provide answer]</i>	
4. Do you have professional insurance against all risks in respect of your employees, sub-contractors, property and equipment?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If 'No', what type of business insurance do you have?</i>				<i>[provide answer]</i>	
5. Are you up to date with your tax and social security payment obligations?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If 'No', please explain the situation:</i>				<i>[Provide details]</i>	
6. Is your entity regulated by a national authority?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If 'Yes', please specify the name:</i>				<i>[Insert name of the national regulation authority]</i>	
7. Is your entity a publicly held company?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
8. Does your entity have a publicly available annual report?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>Please send SPC your audited financial statement from the last 3 financial years if available</i>					

DUE DILIGENCE					
9. Does your entity have foreign branches and/or subsidiaries?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If you answered 'yes' to the previous question, please confirm the branches:</i>					
• Head Office & domestic branches				<input type="checkbox"/> Yes	<input type="checkbox"/> No
• Domestic subsidiaries				<input type="checkbox"/> Yes	<input type="checkbox"/> No
• Overseas branches				<input type="checkbox"/> Yes	<input type="checkbox"/> No
• Overseas subsidiaries				<input type="checkbox"/> Yes	<input type="checkbox"/> No
10. Does your entity provide financial services to customers determined to be high risk including but not limited to:					
Foreign Financial Institutions	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Casinos	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Cash Intensive Businesses	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Foreign Government Entities	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Non-Resident Individuals	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Money Service Businesses	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<input type="checkbox"/> Other, please provide details:			[Provide details]		
11.If you answered 'yes' to any of the boxes in question 10, does your entity's policies and procedures specifically outline how to mitigate the potential risks associated with these higher risk customer types?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please explain how:			[Provide explanation]		
12.Does your entity have a written policy, controls and procedures reasonably designed to prevent and detect fraud, corruption, money laundering or terrorist financing activities?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please send SPC your policy in English.					
If 'No', what process does your entity have in place to prevent and detect money laundering or terrorist financing activities?				[provide answer]	
13.Does your entity have an officer responsible for anti-corruption, or anti-money laundering and counter-terrorism financing policy?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please state that officer's contact details:			[Insert name and contact details]		
14.Has your entity or any of its current or former directors or CEOs ever filed for bankruptcy?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please provide details:			[Provide details]		
15.Has your entity or any of its current or former directors or CEOs ever been the subject of any investigations or had any regulatory or criminal enforcement actions resulting from violations of any laws or regulations, including those relating to money laundering or terrorism financing?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please provide details:			[Provide details]		

SOCIAL AND ENVIRONMENTAL RESPONSIBILITY (SER)

16.Does your entity have a written policy, controls and procedures to implement its Social and Environmental Responsibility (SER) commitments?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please send SPC your policy in English.					
If 'No', what process does your entity have in place to ensure your social and environmental responsibility?				[provide answer]	
Does your Policy or Process cover the followings?					
<input type="checkbox"/> Child protection <input type="checkbox"/> Human rights <input type="checkbox"/> Gender equality <input type="checkbox"/> Social inclusion <input type="checkbox"/> Sexual harassment, abuse or exploitation <input type="checkbox"/> Environmental responsibility					
Please, outline the major actions you have undertaken in these areas:			[provide answer]		
17.Does your entity have an officer responsible for Social and Environmental Responsibility (SER)?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please state that officer's contact details:			[Insert name and contact details]		

SUPPORTING DOCUMENTS (where relevant)

• Business registration/license proof	<input type="checkbox"/>
• Bank account details document	<input type="checkbox"/>
• Address of the entity and Authority of officer proofs	<input type="checkbox"/>
• Audited financial statement from the last 3 financial years	<input type="checkbox"/>
• Fraud, corruption, anti-money laundering and counter terrorist financing Policy	<input type="checkbox"/>
• SER Policy	<input type="checkbox"/>

I declare that the particulars given herein above are true, correct and complete to the best of my knowledge, and the documents submitted in support of this form are genuine and obtained legally from the respective issuing authority.

I declare that none of the funds received or to be received by my company will be used for criminal activities, including financing terrorism or money laundering.

By sending this declaration to SPC, I agree that my business and personal information may be used by SPC for due diligence purposes. I also understand and accept that SPC will treat any personal information it receives in connection with my proposal in accordance with its [Privacy Policy](#), and the [Guidelines for handling personal information of bidders and grantees](#).

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 4: TECHNICAL PROPOSAL SUBMISSION FORM

Technical Requirements	
Experience and references	
References:	Program Assistant
	1. Client's name: <i>[insert name of client 1]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	2. Client's name: <i>[insert name of client 2]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	3. Client's name: <i>[insert name of client 3]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
References:	Coordinator
	1. Client's name: <i>[insert name of client 1]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	2. Client's name: <i>[insert name of client 2]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	3. Client's name: <i>[insert name of client 3]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
References:	Team Leader
	1. Client's name: <i>[insert name of client 1]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	2. Client's name: <i>[insert name of client 2]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	3. Client's name: <i>[insert name of client 3]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>

For each lot for which the contractor is bidding, a technical note of maximum 3 pages detailing:

- a. How the experience, skills and qualifications of the contractor correspond to the requirements of the assignment.
- b. The organization and methodology implemented to meet the objectives defined in the scope of work and to deliver the expected deliverables.

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 5: FINANCIAL PROPOSAL SUBMISSION FORM

INSTRUCTIONS TO BIDDERS

In their financial proposal, bidders should detail as much as possible the price requested in response to the technical specifications.

Wherever possible, this should be stated as a lump sum and then as a total amount (e.g. lump sum can be: daily rate for a consultant, a project manager, a developer, a scientist, lump sum for the organisation of an event, price of a consultation and total amount can be: number of days of drafting required to produce the report, number of meetings required, number of conferences, workshops, etc.).

Good detail in their financial proposal helps bidders to give clarity and transparency to their proposal and makes it easier for SPC to score the proposals received.

The contract to be concluded with the selected bidder must mention all the costs incurred for the execution of the assignment entrusted to him. No additional costs can be claimed from SPC after the contract has been signed. Bidders must mention in their financial proposal all additional costs foreseen for the execution of the contract (material, equipment, travel, etc.). These costs will either be included in their fees, paid or reimbursed by SPC upon presentation of supporting documents. In any case, they must be estimated by the bidder in its financial proposal and will form an integral part of SPC's evaluation of proposals.

Bidders must also mention any special conditions relating to the amount of their proposal terms of payment.

<u>LOT 1 - Consulting service as a Program Assistant for the FSM GCF Readiness III Project</u>			
Service description	Lump sum Price USD	Total quantity	Total Amount USD
Professional / Monthly rate	<i>[unit price]</i>	29	<i>[total amount]</i>
Any other related costs specify (operating, management and any administrative cost – Reimbursable based on actuals	<i>[unit price]</i>	<i>[quantity]</i>	<i>[total amount]</i>
Total			<i>[Total]</i>
<i>Total Amount written in letters:</i>			
<u>LOT 2 - Consulting Services as a National Coordinator for the FSM Green Climate Fund (GCF) Readiness III project.</u>			
Services description	Lump sum Price USD	Total quantity	Total Amount USD
Professional Fees / Monthly rate	<i>[unit price]</i>	29	<i>[total amount]</i>
Any other related costs specify (operating, management and any administrative cost – Reimbursable based on actuals	<i>[unit price]</i>	<i>[quantity]</i>	<i>[total amount]</i>
Total			<i>[Total]</i>
<i>Total Amount written in letters:</i>			

LOT 3 - Consulting Services as a Team Leader for the FSM Green Climate Fund (GCF) Readiness III project			
Services description	Lump sum Price USD	Total quantity	Total Amount USD
Professional Fees / Monthly rate	<i>[unit price]</i>	29	<i>[total amount]</i>
Any other related costs specify (operating, management and any administrative cost – Reimbursable based on actuals	<i>[unit price]</i>	<i>[quantity]</i>	<i>[total amount]</i>
Total			<i>[Total]</i>
<i>Total Amount written in letters:</i>			

No payment will be made for items which have not been priced. Such items are deemed to be covered by the financial offer.

Bidders will be deemed to have satisfied themselves, before submitting their proposal and to its correctness and completeness, taking into account of all that is required for the full and proper performance of the contract and to have included all costs in their rates and prices.

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*